INDUSTRIAL EFFICIENCY GRANT PROGRAM DESIGN APPLICATION

Funded by the American Recovery and Reinvestment Act of 2009

IOWA™ Economic Development

Email the completed application to molly.kiick@iowaeda.com by 4:59 p.m. CST on November 30, 2026.

SECTION 1: APPLICANT INFORMATION

Project Title:

Applicant Entity Legal Name:

Applicant Entity Primary Address:

City: State: ZIP Code:

Telephone Number: Email Address:

Project Contact Name:

Project Contact's Title:

Website:

Unique Entity ID:

Federal Employer Identification Number/Taxpayer Identification Number:

Sales Tax Permit Number:

Years in Business:

Number of Employees Across All Locations: How many are full-time employees?

Number of Employees in Iowa: How many are full-time employees?

Briefly describe the business.

Applicant industry by North American Industry Classification System (NAICS) Code (choose one):

Select

If you selected "Other," explain:

Percentage of revenue from the sale of manufactured goods (must be a minimum of 51%):

Is the applicant willing to comply with Title 2 of the Code of Federal Regulations, Part 200? Yes No

(See the Federal Requirements section of the Industrial Efficiency Grant Program Policies and Procedures Guide for more information on this requirement.)

Is the applicant willing to conform to all applicable state, local and federal laws and regulations? Yes No

How will the applicant ensure successful project administration, including completion of design, in a timely and efficient manner and in compliance with all applicable state and federal requirements? Please describe the relevant resources, procedures and controls that will support the project (e.g., project champions, project managers, consultants and project planning toolsets).

Does the applicant team have experience complying with state or federal regulations or administering projects? Please provide brief examples.

Has the applicant engaged external resources or identified internal resources to help facilitate project administration?

External resources Internal resources Both No resources identified yet

Is the applicant aware of any conflicts of interest involving Iowa Energy Office members? Yes No

If yes, identify the Iowa Energy Office member(s) involved and describe the nature of the potential conflict.

SECTION 2: PROJECT INFORMATION

Property Name:

Property Address:

City: State: ZIP Code:

Property Owner:

Square Footage of the Impacted Property:

Please provide a one-paragraph summary of the proposed efficiency project and list all proposed efficiency measures.

Why is design work needed before the project can be implemented?

How is the proposed approach to improving efficiency novel, innovative or transformative?

Will the project design be completed primarily in-house or by a contracted engineering firm? ☐ In-house ☐ Contracted

If the applicant is not the sole property manager, property owner and building owner, have all entities with an interest in the property (excluding renters or tenants) provided permission to pursue upgrades to the property as a part of this program? ☐ Yes ☐ No ☐ N/A

If yes, please include signatures from all relevant entities.

Has the project started? Yes No

Project investment to date:

Has the Iowa Energy Office previously reviewed this project? Yes No

If yes, please explain how the proposal addresses the office's concerns or differs from the previously reviewed proposal.

Does the project include new construction or repair of buildings or structures?
The purchase, installation and demonstration of commercially available energy-efficiency or renewable-energy techniques and technologies are permitted and are not considered repairs. Yes No

Does the project include the purchase of land, a building or structure, or an interest in any of these? Yes No

Does the project fund gambling establishments, aquariums, zoos, golf courses or swimming pools? Yes No

Does the project subsidize fares for public transportation? Yes No

Does the project subsidize utility rate demonstrations or state tax credits for energy conservation or renewable energy measures? Yes No

Does the project involve the research, development or demonstration of energy-efficiency or renewable-energy techniques and technologies that are not commercially available? Yes No
For purposes of this question, commercially available means a standard model customarily used by the general public.

SECTION 3: PROJECT BENEFITS

What does the applicant hope to achieve in completing the project? Please describe the potential benefits to the applicant and other relevant stakeholders.

Which of the following benefits will be quantified during the design phase? Select all that apply (Ctrl + Click).

- Select
- Energy costs savings
- Reduction in total energy use
- Ability to curtail energy use during peak grid demand
- Shift to Iowa-based energy sources
- Improved competitiveness of the applicant
- Reduced waste
- Improved air quality or other workplace improvements
- Other

If you selected "Other," please specify:

What factors will determine whether the project moves forward after the design phase (e.g., approval process, energy savings or payback threshold, and feasibility)?

Please select the objective(s) on Page 14 of the Iowa Energy Plan that align with the project. Select all that apply (Ctrl + Click).

- Select
- Foster innovation and expand energy-related businesses and technologies.
- Improve building energy efficiency and reduce operating costs across all sectors.
- Optimize freight and passenger transportation to reduce energy use in Iowa.
- Other

If you selected "Other," please specify:

Please provide a brief explanation of how the project aligns with the selected objective(s).

SECTION 4: PROJECT TIMELINE

MILESTONE	END DATE	DELIVERABLES
PROJECT END		

SECTION 5: BUDGET

ANTICIPATED EXPENDITURES	AMOUNT
PROJECT EXPENDITURES TOTAL	$ 0.00

ANTICIPATED COST SHARE	AMOUNT
PROJECT COST SHARE TOTAL	$ 0.00

TOTAL BUDGET FUNDING REQUEST:

SECTION 6: CERTIFICATION AND SIGNATURE OF LEGALLY AUTHORIZED REPRESENTATIVE

I, the undersigned, certify that the information provided in this pre-application is true and accurate to the best of my knowledge. I also acknowledge that I understand the following regarding the processes to receive financial assistance from the Iowa Energy Office:

- Appropriation of money is subject to the actual receipt of monies by the Iowa Energy Office.
- Eligible uses of the funds awarded may be limited, at the Iowa Energy Office's discretion.
- Approval for assistance is a multistep process; request for submittal of a final application does not guarantee that the project will be funded.
- Additional information may be requested at any time.
- All information submitted for consideration may be reviewed by other state agencies as appropriate.
- All documents submitted to the office are subject to Iowa Code Chapter 22, Examination of Public Records (Open Records). Information contained in this submission will be available for public inspection unless confidential treatment is requested, and concurrently redacted documents are provided to the office for public evaluation.
- Negotiations will take place if the project advances in the evaluation process. At that time, modifications to the project may be requested.
- The Iowa Energy Office may obtain a third-party technical, scientific or financial review of a proposal. The applicant may be responsible for all or part of the review costs. Technical reviews provided by the applicant do not guarantee funding.

For the purposes of the following questions, "principal(s)" includes any current or prospective officer, director or owner.

Have there been any current or past bankruptcies on the part of the applicant entity (or predecessor entities), or on the part of any principal or in any business dealings of any principals of the applicant entity? Has the applicant entity ever been subject to foreclosure? ☐ Yes ☐ No

If yes, please provide the date and a description of the outcome of any bankruptcy or foreclosure:

Is the applicant entity or principal currently delinquent in the payment of state or local taxes or otherwise in substantial noncompliance with Iowa tax law, including filing obligations? ☐ Yes ☐ No

If yes, please provide the date and amount of the delinquency:

Has the applicant entity or any principal been debarred by the federal government? Yes No

If yes, please explain the circumstances of the debarment:

Has the applicant entity or any principal ever returned tax credits, exited ownership of a tax-credit-funded project or had tax credits revoked, rescinded or reduced? Yes No

If yes, please describe the circumstances:

In the last five years:

Are there any judgments or court actions, including small claims actions, currently pending or completed against the applicant entity or any principal? Yes No

If yes, please provide the date and a description of each judgment or court action:

Has any principal been charged or convicted of any crime? Yes No

If yes, please provide the date and a description of each charge or conviction:

Have there been, or are there currently, any investigations of potential violations of public health, safety (including workplace safety) or environmental laws by the applicant entity or any principal? Yes No

If yes, please provide the date and a description of each investigation or violation:

Have there been, or are there currently, any violations of antitrust laws by the applicant entity or any principal? Yes No

If yes, please provide the date and a description of each violation:

Have there been, or are there currently, any violations of city or county ordinances, permitting requirements or other applicable policies by the applicant entity or any principal? Yes No

If yes, please provide the date and a description of each violation:

Has the applicant entity, any principal or any management company utilized by the applicant entity entered a settlement with the Iowa Civil Rights Commission or similar state or federal enforcement agency or had a finding of probable cause related to violation of civil rights? This should include, but not be limited to, violations of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Americans with Disabilities Act Amendments Act of 2008 and the Fair Housing Act and regulations. Yes No

If yes, please provide the date and a description of each violation:

The undersigned representative of the applicant gives permission to the Iowa Economic Development Authority (IEDA) and/or the Iowa Finance Authority (collectively, the "Authority") to research the applicant, conduct credit checks, contact the applicant's financial institutions and insurance carriers, and perform other related activities necessary for reasonable evaluation of this application.

The undersigned authorizes the Iowa Department of Revenue (IDR) to provide the Authority with state tax information pertinent to the applicant's standing with IDR, including but not limited to information related to state income tax, sales and use tax, state withholding and state tax credits claimed. The undersigned representative of the applicant further authorizes IDR to access tax incentive information pertinent to the responsibilities of IDR, including but not limited to information IDR is required to report to the Iowa General Assembly and information required to process tax incentive claims.

The undersigned also authorizes other state entities, including but not limited to the Iowa Department of Natural Resources, the Iowa Department of Public Safety, the Iowa Attorney General and Iowa Workforce Development, to provide the Authority with information pertinent to the application and the applicant's standing.

I understand that all information submitted to the Authority related to this application is subject to Iowa Code Chapter 22, Examination of Public Records (Open Records).

I understand that this application is subject to approval by the Authority and that all program terms, conditions and requirements must be satisfied. I understand that IEDA reserves the right to negotiate financial assistance. I further certify that any financial assistance awarded pursuant to this program shall be used solely in accordance with applicable program requirements.

I hereby certify that all representations, warranties and statements made or furnished to the Authority in connection with this application are true and correct in all material respects. I understand that, under Iowa law, it is a criminal violation to engage in deception and knowingly make, or cause to be made, directly or indirectly, a false written statement for the purpose of procuring economic development assistance from a state agency or political subdivision.

☐ By checking this box, I hereby affirm that I am duly authorized to act as a signatory on behalf of the applicant.

For the applicant:

Date:

Name and title (typed or printed):

The Authority will not provide assistance in situations where it is determined that any representation, warranty or statement made in connection with this application is incorrect, false, misleading or erroneous in any material respect. If assistance has already been provided prior to discovery of the incorrect, false or misleading representation, the Authority may initiate legal action to recover incentives and assistance awarded to the applicant.