

CLEAN WATER STATE REVOLVING FUND GENERAL NONPOINT SOURCE INTENDED USE PLAN (IUP) APPLICATION



This application is for a General Nonpoint Source (GNS) project to be funded by a Clean Water State Revolving Fund (CWSRF) direct loan. Applications are accepted on a quarterly basis by the Iowa Department of Natural Resources (DNR). Once applications are reviewed and scored the project will be added to the draft IUP project priority list (PPL). Draft PPLs undergo a public comment period and then are approved by the [Environmental Protection Commission](#) (EPC). Quarterly IUP application deadlines are posted on the [CWSRF program website](#). You may submit this completed application and supporting documents to SRF-IUP@dnr.iowa.gov.

For further information on the GNS program, application, and project implementation process, as well as instructions on how to complete this application, view the instructions for the GNS IUP application on the [SRF GNS webpage](#).

GNS IUP Application Form

Project Name: _____

Section 1: Project Contacts

Applicant Contact

Public Entity Applicant Name: _____

Name of Authorized Representative: _____

Authorized Representative Title: _____

Street Address: _____

City, State, Zip _____

Telephone: _____ E-mail: _____

UEI Number: _____

Consulting Engineer

Consulting Firm: _____

Primary Point of Contact: _____ Primary Contact Title: _____

Street Address: _____

City, State, Zip _____

Telephone: _____ E-mail: _____

Technical Advisor Contact

Technical Agency or Group: _____

Primary Point of Contact: _____ Primary Contact Title: _____

Telephone: _____ E-mail: _____

Bond Counsel

Bond Counsel Firm: _____

Bond Counsel Firm Contact: _____

Telephone: _____ E-mail: _____

Municipal Advisor

Municipal Advisor Firm: _____

Municipal Advisor Firm Contact: _____

Telephone: _____ E-mail: _____

Additional Applicant Contacts

Name of Additional Contact: _____

Title and Role in Project: _____

Telephone: _____ E-mail: _____

Additional Consulting Engineer Contacts

Name of Additional Contact: _____

Title and Role in Project: _____

Telephone: _____ E-mail: _____

Please list any additional partners or stakeholders involved in the project.

Organization	Contact Person	Email Address

Section 2: Pre-Application Checklist

☐ Design concept included with submission

Design concept includes:

☐ drainage area

☐ drainage area to practice footprint ratio

☐ land cover/land use within drainage area

☐ design standard citation(s)

☐ A pre-application consultation has occurred with the DNR PM and TA _____ Date)

Has a site visit been conducted with the Technical Advisor? ☐ Yes Date: _____ ☐ No

If a site visit has not yet taken place, when is it planned? _____

Does the applicant currently own or have long-term control (easements, etc.) of the entire project site? ☐ Yes ☐ No

If no, describe below the anticipated steps to obtain legal control of the entire project area.

Section 3: Executive Summary (100 word Limit) and Water Quality Objectives

Describe the proposed project, the water quality issue it will address, the expected water quality improvement that will result from the project, and the expected timeline to construction completion.

What water quality objectives will this project accomplish? (Check those that apply):

- | | |
|---|---|
| <input type="checkbox"/> Prevent/Reduce Active Erosion | <input type="checkbox"/> Floodplain Reconnection |
| <input type="checkbox"/> Prevent/Reduce Excess Nutrient Delivery (N/P) | <input type="checkbox"/> Restore Natural Hydrology |
| <input type="checkbox"/> Prevent/Reduce Bacteria | <input type="checkbox"/> Protect Groundwater |
| <input type="checkbox"/> Prevent/Reduce Sediment-Load/Delivery | <input type="checkbox"/> Address a Known Impairment |
| <input type="checkbox"/> Prevent/Reduce Other Pollutants | <input type="checkbox"/> Address a Local Plan/Need |
| <input type="checkbox"/> Address Emerging Contaminants | <input type="checkbox"/> Protect Source Water |
| <input type="checkbox"/> Stream or Shoreline Stabilization | <input type="checkbox"/> Education/Demonstration |
| <input type="checkbox"/> Improve Aquatic Habitat for (list species) _____ | |
| <input type="checkbox"/> Other _____ | |

Section 4: Project Area

Attach to the application a map or aerial image that identifies the footprint of the proposed project in the project area. Provide a latitude and longitude for the center of the proposed practice. If multiple practices are proposed please include a central latitude and longitude for each practice location.

Latitude: _____ Longitude: _____

Section 5: Project Schedule

Anticipated final plans and specifications submittal date: _____

Anticipated bid letting date: _____

Anticipated construction start date: _____

Anticipated construction end date: _____

Section 6: Project Narrative (1,800 word limit, not including supplemental materials)

See application instructions for evaluation factor table and project narrative components.

Section 7: Budget

Cost Category	Estimated Total Cost in \$
Legal Expenses	
Land and Easements	+
Engineering Planning and Design	+
Engineering Construction	+
Construction	+
Equipment	+
Other:	+
PROJECT SUBTOTAL	=
Contingencies	+
Planning and design loan proceeds, if rolling into construction loan	+
Less Any Funds Requested from Other Sources	-
LOAN SUBTOTAL	=
Loan Initiation Fee (Loan Subtotal x .005)	+
TOTAL IUP REQUEST (Round to the nearest \$1,000)	=

Other Funding	Yes	Amount (If Known)
CWSRF Water Resources Restoration Sponsored Project	<input type="checkbox"/>	
Community Development Block Grant (CDBG)	<input type="checkbox"/>	
Water Quality Initiative (WQI)	<input type="checkbox"/>	
319 Funding	<input type="checkbox"/>	
Reserve Funds	<input type="checkbox"/>	
Other - Please Specify:	<input type="checkbox"/>	

Authorized Representative Signature: _____ **Date:** _____

Section 8: Self-Certification of Intention to Maintain SRF Funded Practice(s)

_____ (Authorized Representative) hereby assures that the water quality practice or practices constructed as a result of this application and SRF General Nonpoint Source funds will be maintained for the shorter of the design life of the proposed practice(s) or the length of the SRF General Nonpoint Source loan. The Applicant will consult with a technical advisor, _____ (Name of TA), to consider design components that would benefit operations for short and long-term maintenance of the practice.

The following departments and/or personnel will be responsible for maintenance of the practice for the anticipated design life of the practice which is _____ years.

Name of departments and/or personnel responsible for maintenance: _____

Name and title of Authorized Official: _____

Signature of Authorized Official: _____ Date: _____

Section 9: Acquisition of Property by SRF Applicants

US ENVIRONMENTAL PROTECTION AGENCY

ASSURANCE WITH RESPECT TO REAL PROPERTY ACQUISITION OF TITLE III OF THE UNIFORM RELOCATION ASSISTANCE AND REAL PROPERTY ACQUISITION POLICIES ACT OF 1970 AS AMENDED

The _____ (Applicant) hereby assures that it has authority under applicable State and local law to comply with Section 213 of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, Public Law 91-646, 84 Stat. 1894 (42 U.S.C. 4601) as amended by the Surface Transportation and Uniform Relocation Assistance Act of 1987, Title IV of Public Law 100-17, 101 Stat. 246-256 (42 U.S.C. 4601 note) and 49 CFR 1.48(cc); and certifies, assures and agrees that, notwithstanding any other provision set forth in the application.

1. For projects resulting in the displacement of any person:
 - a. It will adequately inform the public of the relocation payments and services which will be available as set forth in Subparts A, C, D and E of 49 CFR 24.
 - b. It will provide fair and reasonable relocation payments to displaced persons as required by Subparts D and E of 49 CFR 24.
 - c. It will provide a relocation assistance program for displaced persons offering services described in Subpart C of 49 CFR 24.
 - d. Comparable replacement dwellings will be available pursuant to Subpart F of 49 CFR 24, or provided if necessary, a reasonable period in advance of the time any person is displaced.
 - e. In acquiring real property, it will provide at least 90 days written notice to each lawful occupant of real property acquired, stating the date such occupant is required to move from a dwelling or to move his business or farm operation.
2. For projects resulting in the acquisition of real property:
 - a. It will fully comply with the requirements of Subpart B of 49 CFR 24.
 - b. It will adequately inform the public of the acquisition policies, requirements and payments which apply to the project.
 - c. It will make every effort to acquire real property expeditiously through negotiation.
 - d. Before the initiation of negotiations, it will have the real property appraised and give the owner or his representative an opportunity to accompany the appraiser during inspection of the property, except as provided in 49 CFR 24.102(c)(2).
 - e. Before the initiation of negotiations, it will establish an amount which it believes to be just compensation for the real property, and make a prompt offer to acquire the property for that amount; and at the same time, it will provide the owner a written statement of the basis for such amount in accordance with 49 CFR 24.102.
 - f. Before requiring any owner to surrender possession of real property it will pay the agreed purchase price; or deposit with the court, for the benefit of the owner, an amount not less than the approved appraisal of the fair market value of the property; or pay the amount of the award of compensation in a condemnation proceeding for the property.
 - g. If interest in real property is to be acquired by exercise of the power of eminent domain, it will institute formal condemnation proceedings and not intentionally make it necessary for an owner to institute legal proceedings to prove the fact of the taking of this real property; and
 - h. It will offer to acquire the entire property, if acquisition of only part of a property would leave its owner with an uneconomic remnant.

References to 49 CFR are citations to Title 49, Code of Federal Regulations, Part 24, published in the Federal Register Vol. 54, No. 40, March 2, 1989.

This document is hereby made part of and incorporated in any contract or agreement, or any supplements and amendments thereto, relating to the above-identified application and shall be deemed to supersede any provision therein to the extent that such provisions conflict with the assurances or agreements provided therein.

Legal Name of Applicant: _____

Signature of Authorized Representative: _____ Date: _____

Section 10: Iowa State Revolving Fund (SRF) Borrower Civil Rights Self-Certification Form

As a recipient of financial assistance through the Iowa State Revolving Fund (SRF) program, the undersigned hereby acknowledges and certifies that the Borrower shall comply with all applicable federal civil rights laws and regulations. These requirements apply to the **entire operations** of the assistance recipient, not just the project or activity receiving SRF funds.

In accordance with federal law and U.S. Environmental Protection Agency (EPA) regulations at **40 CFR Part 7**, the Borrower agrees to adhere to the following nondiscrimination statutes:

Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.)

No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

Section 13 of the Federal Water Pollution Control Act Amendments of 1972 (Pub. L. 92-500; see 33 U.S.C. § 1251, note)

No person in the United States shall, on the ground of sex, be excluded from participation in, be denied the benefits of, or be subject to discrimination under any program or activity receiving federal assistance under the Clean Water Act.

Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794)

No otherwise qualified individual with a disability in the United States shall, solely by reason of their disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

The Age Discrimination Act of 1975 (42 U.S.C. § 6101 et seq.)

No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance.

Additional Requirements for Borrowers who are subrecipients

Subrecipients who are selected to receive SRF funds directly from a federal grant are subject to the same requirements as those that apply to the SRF program. These requirements include those listed in 40 CFR Part 7, subpart D.

The Borrower agrees to:

- **Provide a notice of nondiscrimination** stating that it does not discriminate on the basis of race, color, national origin, disability, age or sex.
- **Be subject to the prohibition against intimidation and retaliation.**
- **Maintain records** demonstrating compliance with these civil rights laws, including:
 - A list of any civil rights complaints received.
 - Reports from any external civil rights compliance reviews.
 - Descriptions of any pending discrimination lawsuits.
 - Demographic data regarding populations served (e.g., race, ethnicity, national origin, sex, disability status, and age).
- **Provide access to documentation** and cooperate with any compliance reviews or investigations conducted by the Iowa DNR or EPA.
- **Inform subrecipients**, if any, that they are subject to the same federal civil rights requirements applicable to the Borrower as a condition of SRF funding.
- **For borrowers with 15 or more employees:**
 - **Adopt grievance procedures** for the fair resolution of complaints which allege violation of civil rights laws.
 - **Identify a nondiscrimination coordinator.**

Borrower Name: _____ SRF Project Number: _____

Project Name: _____

Certification

By signing below, I affirm that I am authorized to sign on behalf of the Borrower named above and that the Borrower will comply with all applicable federal civil rights laws and regulations as a condition of receiving SRF assistance.

Signature of Authorized Representative

Date

Printed Name

Title