

Certification & Release of Information

For the purposes of the following questions, "principals" includes any current or prospective officer, director, or owner.

1. Have there been any current or past bankruptcies on the part of the applicant entity (or predecessor entities), or on the part of any principal or in any business dealings of any principals of the applicant entity? Has the applicant entity ever been subject to foreclosure? ☐ Yes ☐ No

If yes, please provide the date and a description of the outcome of any bankruptcy or foreclosure:

2. Is the applicant entity or principal currently delinquent in the payment of state or local taxes or otherwise in substantial noncompliance with Iowa tax law? ☐ Yes ☐ No

If yes, please provide the date and amount of the delinquency:

3. Has the applicant entity or any principal been debarred by the federal government? ☐ Yes ☐ No

If yes, please explain the explain the circumstances of the debarment.

4. Has the applicant entity or any principal ever returned tax credits, exited ownership of a tax credit funded project, or had tax credits revoked, rescinded, or reduced? ☐ Yes ☐ No

If yes, please describe the circumstances:

In the last five years:

1. Are there any judgments or court actions currently pending or completed against the applicant entity or any principal? ☐ Yes ☐ No

If yes, please provide the date and a description of each judgment or court action:

2. Has any principal been accused or convicted of any wrongdoing or felony? ☐ Yes ☐ No

If yes, please provide the date and a description of each charge or conviction:

3. Have there been, or are there currently any investigations of potential violations of public health, safety (including workplace safety) or environmental laws by the applicant entity or any principal? ☐ Yes ☐ No

If yes, please provide the date and a description of each investigation or violation:

4. Have there been, or are there currently any violations of antitrust laws by the applicant entity or any principal? ☐ Yes ☐ No

If yes, please provide the date and a description of each violation:

The undersigned representative of the Applicant gives permission to the Iowa Economic Development Authority (IEDA) to research the Applicant, make credit checks, contact the Applicant's financial institutions, insurance carriers, and perform other related activities necessary for reasonable evaluation of this application.

The undersigned authorizes the Iowa Department of Revenue (IDR) to provide to IEDA state tax information pertinent to the Applicant's standing with IDR, including but not limited to information related to state income tax, sales and use

tax, state withholding, and state tax credits claimed. The undersigned representative of the applicant further authorizes the IDR to access tax incentive information pertinent to the responsibilities of IDR, including but not limited to information IDR is required to report to the Iowa General Assembly and information required to process tax incentive claims. The undersigned authorizes other state entities to provide IEDA information pertinent to the application and standing, including but not limited to, the Iowa Department of Natural Resources, the Iowa Department of Public Safety, Iowa Attorney General, and Iowa Workforce Development.

I understand that all information submitted to IEDA related to this application is subject to Iowa's Open Record Law (Iowa Code, Chapter 22).

I understand this application is not an award or promise of tax credits. I understand this application is subject to approval by IEDA. Furthermore, I am aware that tax credits will not be disbursed until a contract has been executed by the principal officer of the Applicant and the Director of IEDA and all terms, conditions, and requirements of Iowa Code for this tax credit program, all applicable administrative rules, and the contract have been satisfied.

I understand that IEDA reserves the right to negotiate the financial assistance.

I hereby certify that all representations, warranties, or statements made or furnished to IEDA in connection with this application are true and correct in all material respect. I understand that it is a criminal violation under Iowa law to engage in deception and knowingly make, or cause to be made, directly or indirectly, a false statement in writing for the purpose of procuring economic development assistance from a state agency or subdivision.

For the Applicant:

Entity Name ("Entity")

Address:

Tax Identification Number:

Withholding tax permit number, if applicable:

Enter Sales tax permit number, if applicable:

Signature

Date

Name and Title (typed or printed)

For the Parent Company of the Applicant, if applicable

Entity Name ("Entity")

Address:

Tax Identification Number:

Withholding tax permit number, if applicable:

Enter Sales tax permit number, if applicable:

Signature

Date

Name and Title (typed or printed)

For the Developer (if different from above)

Entity Name ("Entity")

Address:

Tax Identification Number:

Withholding tax permit number, if applicable:

Enter Sales tax permit number, if applicable:

Signature

Date

Name and Title (typed or printed)

IEDA will not provide assistance in situations where it is determined that any representation, warranty, or statement made in connection with this application is incorrect, false, misleading or erroneous in any material respect. If assistance has already been provided prior to discovery of the incorrect, false, or misleading representation, IEDA may initiate legal action to recover incentives and assistance awarded to the Business.