

REGISTERED MUNICIPAL ADVISORS

Below is a list of registered municipal advisors who have previously worked on SRF projects. This list is not exhaustive, and SRF borrowers are not required to choose an advisor from it. However, any municipal advisor engaged must be registered with the SEC.

You can search for SEC-registered municipal advisors here: <https://www.msrb.org/Municipal-Advisors>

| Company | First Name | Last Name | City | Email | Phone |
|-----------------------------|------------|------------|-----------------|-----------------------------------|----------------|
| Baker Tilly | Elizabeth | Bergman | St Paul, MN | elizabeth.bergman@bakertilly.com | (651)-223-3018 |
| Baker Tilly | Doug | Green | St Paul, MN | doug.green@bakertilly.com | (651) 223-3086 |
| DA Davidson | Paul | Donna | St Paul, MN | pdonna@dadco.com | (612) 499-3066 |
| DA Davidson | Michael | Maloney | Des Moines | mmaloney@dadco.com | (515) 471-2723 |
| DA Davidson | Scott | Stevenson | Des Moines | sstevenson@dadco.com | (515) 471-2721 |
| Independent Public Advisors | Tionna | Pooler | West Des Moines | tionna@ipamuni.com | (515) 259-8193 |
| Northland Securities | Rebecca | Greenway | West Des Moines | RGreenway@northlandsecurities.com | (515)-657-4685 |
| Northland Securities | Jeff | Heil | West Des Moines | jheil@northlandsecurities.com | (641) 750-5720 |
| Northland Securities | Heidi | Kuhl | West Des Moines | hkuhl@northlandsecurities.com | (515) 657-4684 |
| Northland Securities | Chip | Schultz | West Des Moines | cschultz@northlandsecurities.com | (515) 657-4688 |
| PFM Financial Advisors LLC | Jon | Burmeister | Des Moines | burmeisterj@pfm.com | (515) 724-5724 |
| PFM Financial Advisors LLC | Susanne | Gerlach | Des Moines | gerlachs@pfm.com | (515) 724-5734 |
| PFM Financial Advisors LLC | Chip | Schultz | Des Moines | schultzc@pfm.com | (515) 724-5725 |
| PFM Financial Advisors LLC | Matthew | Stoffel | Des Moines | stoffelm@pfm.com | (515) 724-5737 |
| Piper Sandler | Timothy | Oswald | Des Moines | timothy.oswald@psc.com | (515) 247-2358 |
| Piper Sandler | Travis | Squires | Des Moines | travis.squires@psc.com | (515) 247-2354 |
| Speer Financial, Inc. | Maggie | Burger | Waterloo | mburger@speerfinancial.com | (319) 291-2077 |
| UMB | Nathan | Summers | Des Moines | nathan.summers@umb.com | (515) 368-6073 |

